

## The Hamiltonian formalism

We have already encountered the Hamiltonian  $H$  in connection with energy conservation in the Lagrangian formulation of mechanics. Let's review the equations we obtained in the case of Cartesian coordinates:

$$H = T + V = \sum_{i=1}^N \frac{1}{2} m_i \dot{x}_i^2 + V(x_1, \dots, x_N)$$

We are using "x" for all components of the particle positions to keep the notation simpler. For a 3D system  $N$  is a multiple of 3, and  $m_1 = m_2 = m_3$  is the mass of one particle with coordinates  $(x_1, x_2, x_3)$  etc. (7)

$$P_i = \frac{\partial L}{\partial \dot{x}_i} = m_i \dot{x}_i \quad \left( \begin{array}{l} \text{momentum} \\ \text{conjugate to } x_i \end{array} \right)$$

We have  $N$  equations of motion, each one a 2<sup>nd</sup> order differential equation:

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{x}_i} \right) = \frac{\partial L}{\partial x_i}$$

↓

$$m_i \ddot{x}_i = - \frac{\partial V}{\partial x_i} \quad (i=1, \dots, N)$$

In the Hamiltonian formalism we think of  $H$  as being a function of the  $N$  coordinates ( $x_i$ ) and the  $N$  momenta ( $P_i$ ), rather than the  $N$  velocities ( $\dot{x}_i$ ). We should therefore express  $H$  accordingly:

②

$$\dot{x}_i = \frac{p_i}{m_i}$$

$$H = \sum_{i=1}^N \frac{1}{2} m_i \left( \frac{p_i}{m_i} \right)^2 + V(x_1, \dots, x_N)$$

$$= \sum_{i=1}^N \frac{1}{2} \frac{p_i^2}{m_i} + V(x_1, \dots, x_N)$$

With the  $N$   $x$ 's and  $N$   $p$ 's treated as independent variables of  $H$ , we obtain the following partial derivatives of  $H$ :

$$\frac{\partial H}{\partial x_i} = \frac{\partial V}{\partial x_i}, \quad \frac{\partial H}{\partial p_i} = \frac{p_i}{m_i}$$

But 
$$-\frac{\partial H}{\partial x_i} = -\frac{\partial V}{\partial x_i} = m_i \ddot{x}_i = \dot{p}_i$$
  
Euler-Lagrange

and 
$$\frac{\partial H}{\partial p_i} = \frac{p_i}{m_i} = \dot{x}_i$$

(3)

We therefore have  $2N$  <sup>anti</sup>symmetrical 1<sup>st</sup> order differential equations:

$$\left. \begin{aligned} \dot{P}_i &= - \frac{\partial H}{\partial X_i} \\ \dot{X}_i &= + \frac{\partial H}{\partial P_i} \end{aligned} \right\} \begin{array}{l} i=1, \dots, N \\ 2N \text{ 1st order} \\ \text{equations} \end{array}$$

This nice anti-symmetrical structure is unchanged, we will find, when we use generalized coordinates!

Recall,  $P_i = \frac{\partial L}{\partial \dot{q}_i}$  (conjugate to gen. coord.  $q_i$ )

$$H = \sum_{i=1}^N P_i \dot{q}_i - L$$

Now we should think of

(4)

$H$  as expressed only in terms of  $q$ 's,  $p$ 's, and  $t$  (no  $\dot{q}$ 's).

We do this by using the  $N$  equations

$$P_i = \frac{\partial L}{\partial \dot{q}_i} = f_i(q's, \dot{q}'s, t)$$

to solve for each  $\dot{q}_i$  in terms of  $q$ 's,  $p$ 's, and  $t$ .

Let's take partial derivatives of  $H$  and see what equations we obtain:

$$\frac{\partial H}{\partial p_j} = \dot{q}_j + \sum_{i=1}^N p_i \frac{\partial \dot{q}_i}{\partial p_j} - \frac{\partial L}{\partial p_j}$$

In the two partial derivatives on the right we should think of all  $\dot{q}$ 's as expressed in terms of  $q$ 's and  $t$ .

$p$ 's and  $t$ , with all three of these treated as independent. ~~the~~  
~~the~~ But  $L$  is defined as a function of independent variables  $q, \dot{q}, t$  so we need to use the multi-variable chain rule to perform the indicated derivative:

$$\left. \frac{\partial L}{\partial p_j} \right|_{q\text{'s}} = \sum_{i=1}^N \left. \frac{\partial L}{\partial \dot{q}_i} \right|_{q\text{'s}} \frac{\partial \dot{q}_i}{\partial p_j}$$

notation for "keep constant"

$$= \sum_{i=1}^N p_i \frac{\partial \dot{q}_i}{\partial p_j}$$

But that's exactly the second term in  $\frac{\partial H}{\partial p_j}$ . Hence:

$$\frac{\partial H}{\partial p_j} = \dot{q}_j$$

(6)

Now the other set of equations.

Again we use the multi-variable chain rule being very careful to keep track of which variables are being held fixed:

$$\left. \frac{\partial H}{\partial q_j} \right|_{p's} = \sum_{i=1}^N p_i \left. \frac{\partial \dot{q}_i}{\partial q_j} \right|_{p's} - \left. \frac{\partial L}{\partial q_j} \right|_{p's}$$

Last term:

$$\left. \frac{\partial L}{\partial q_j} \right|_{p's} = \underbrace{\left. \frac{\partial L}{\partial q_j} \right|_{\dot{q}'s} + \sum_{i=1}^N \left. \frac{\partial L}{\partial \dot{q}_i} \right|_{q's} \frac{\partial \dot{q}_i}{\partial q_j}}_{\text{we include both sets of var's } L \text{ is defined in terms of } q's \text{ \& } \dot{q}'s}$$

$$= \frac{d}{dt} \left( \left. \frac{\partial L}{\partial \dot{q}_j} \right|_{p's} \right) + \sum_{i=1}^N p_i \left. \frac{\partial \dot{q}_i}{\partial q_j} \right|_{p's}$$

(Euler-Lagrange) (def. of  $p_i$ ) (7)

$$= \dot{p}_j + \sum_{i=1}^N p_i \left. \frac{\partial \dot{q}_i}{\partial \dot{q}_j} \right|_{p's}$$

$$\Rightarrow \frac{\partial H}{\partial \dot{q}_j} = -\dot{p}_j$$

This shows the form of the  $2N$  "Hamilton's equations" ~~have exactly~~ is exactly what it was in the cartesian case:

$$\left\{ \begin{array}{l} \dot{p}_i = - \frac{\partial H}{\partial q_i} \\ \dot{q}_i = + \frac{\partial H}{\partial p_i} \end{array} \right.$$